



## AMENDMENTS

Please replace the amendments to the specification on pages 2-119, and Figures submitted in the Preliminary Amendment filed December 29, 2000 with the following amendments.

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In the Figures:

Please amend Figure 13 as follows:

Under first formula, delete "Conjugate #1, R = "H<sub>2</sub>N-Trp-Ile-Lys-Arg-Lys-Arg-Gln-Gln-Lys-Cys-Gly-CO<sub>2</sub>H." and replace with --Conjugate #1, R = "H<sub>2</sub>N-Cys-Trp-Ile-Lys-Arg-Lys-Arg-Gln-Gln-Gly-CO<sub>2</sub>H.--

In the Specification:

Please replace the paragraph on page 2 beginning at line 30 and continuing on page 3, through line 2, with the following paragraph:

Other references describe conjugates of nonimmunogenic polymers and immunogens (Sasaki et al., Scand. J. Immun. (1982) 16:191-200; Schon, Prog. Allergy (1982) 32:161-202; Wilkinson et al., J. Immunol. (1987) 139:326-331, and Borel et al., J. Immunol. Methods (1990) 126:159-168).

Please replace the paragraph on page 3 beginning at line 9, with the following paragraph:

In sum, applicants believe that the prior art shows only ill-defined chemical compounds or compounds with numerous non-specific attachment sites employed as valency platform molecules in conjugates. Because the valency of such compounds, the specific location of the attachment sites, and the number of attachment sites are unpredictable and fluctuate widely, prior

B2  
conclude art conjugates comprising such compounds cannot be made reproducibly and show wide ranges in their reported activity.

Please replace the paragraph on page 3 beginning at line 20, with the following paragraph:

B3  
In contrast to the above-described art, applicants have developed conjugates comprising chemically-defined, non-polymeric valency platform molecules wherein the valency of the platform molecules is predetermined and wherein each attachment site is available for binding of a biological or chemical molecule. Valency platform molecules within the present invention are defined with respect to their synthetic structure, valency, homogeneity and a defined chemistry which is amenable to effective conjugation with the appropriate biological and/or synthetic molecules.

Please replace the paragraph on page 4 beginning at line 29, with the following paragraph:

B4  
more preferably,  $G^{[2]}$ , if present, is a radical derived from a polyether, a polyamine, or a polyglycol; most preferably,  $G^{[2]}$  is selected from the group  $-(CH_2)_q-$  wherein  $q=0$  to  $20$ ,  $-CH_2(CH_2OCH_2)_rCH_2-$ , wherein  $r=0$  to  $300$ , and  $C(CH_2OCH_2CH_2-)_s(CH_2OH)_{4-s}$  wherein  $s=1$  to  $4$ , more preferably  $s=3$  to  $4$ .

Please replace the paragraph on page 5 beginning at line 3, with the following paragraph:

B5  
each of the  $n^{[1]}$  moieties shown as  $T^{[1]}$  and each of the  $p^{[2]} \times n^{[2]}$  moieties shown as  $T^{[2]}$  is independently chosen from the group  $NHR^{SUB}$  (amine),  $C(=O)NHNHR^{SUB}$  (hydrazide),  $NHNHR^{SUB}$  (hydrazine),  $C(=O)OH$  (carboxylic acid),  $C(=O)OR^{ESTER}$  (activated ester),  $C(=O)OC(=O)R^B$  (anhydride),  $C(=O)X$  (acid halide),  $S(=O)_2X$  (sulfonyl halide),  $C(=NR^{SUB})OR^{SUB}$  (imide ester),  $NCO$  (isocyanate),  $NCS$  (isothiocyanate),  $OC(=O)X$

B5  
conclude  
(haloformate),  $C(=O)OC(=NR^{SUB})NHR^{SUB}$  (carbodiimide adduct),  $C(=O)H$  (aldehyde),  
 $C(=O)R^B$  (ketone),  $SH$  (sulfhydryl or thiol),  $OH$  (alcohol),  $C(=O)CH_2X$  (haloacetyl),  $R^{ALK}X$   
(alkyl halide),  $S(=O)_2OR^{ALK}X$  (alkyl sulfonate),  $NR^1R^2$  wherein  $R^1R^2$  is  $-C(=O)CH=CHC(=O)-$   
(maleimide),  $C(=O)CR^B=CR^B_2$  ( $\alpha,\beta$ -unsaturated carbonyl),  $R^{ALK}-Hg-X$  (alkyl mercurial), and  
 $S(=O)_2CR^B=CR^B_2$  ( $\alpha,\beta$ -unsaturated sulfone);

Please replace the paragraph on page 5 beginning at line 19, with the following paragraph:

B6  
more preferably each of the  $n^{[1]}$  moieties shown as  $T^{[1]}$  and each of the  $p^{[2]} \times n^{[2]}$   
moieties shown as  $T^{[2]}$  is independently chosen from the group  $NHR^{SUB}$  (amine),  $C(=O)CH_2X$   
(haloacetyl),  $R^{ALK}X$  (alkyl halide),  $S(=O)_2OR^{ALK}X$  (alkyl sulfonate),  $NR^1R^2$  wherein  $R^1R^2$  is -  
 $C(=O)CH=CHC(=O)-$  (maleimide),  $C(=O)CR^B=CR^B_2$  ( $\alpha,\beta$ -unsaturated carbonyl),  $R^{ALK}-Hg-X$   
(alkyl mercurial), and  $S(=O)_2CR^B=CR^B_2$  ( $\alpha,\beta$ -unsaturated sulfone);

Please replace the paragraph on page 5, beginning at line 27, with the following paragraph:

B7  
even more preferably each of the  $n^{[1]}$  moieties shown as  $T^{[1]}$  and each of the  $p^{[2]} \times n^{[2]}$   
moieties shown as  $T^{[2]}$  is independently chosen from the group  $NHR^{SUB}$  (amine),  $C(=O)CH_2X$   
(haloacetyl),  $NR^1R^2$  wherein  $R^1R^2$  is  $-C(=O)CH=CHC(=O)-$  (maleimide), and  $C(=O)CR^B=CR^B_2$   
( $\alpha,\beta$ -unsaturated carbonyl);

Please replace the paragraph on page 6, beginning at line 15, with the following paragraph:

B8  
each  $R^{ESTER}$  is independently N-succinimidyl, p-nitrophenyl, pentafluorophenyl,  
tetrafluorophenyl, pentachlorophenyl, 2,4,5-trichlorophenyl, 2,4-dinitrophenyl, cyanomethyl and

B8  
doatche the like, or other activating group such as 5-chloro-8-quinolon-1-yl, 1-piperidyl, 1-benzotriazolyl and the like;

Please replace the paragraph beginning on page 9, line 27 continuing through page 10, line 9, with the following paragraph:

B9  
--Exemplary of suitable linker molecules within the present invention are 6 carbon thiols such as HAD, a thio-6 carbon chain phosphate, and HAD<sub>p</sub>S, a thio-6 carbon chain phosphorothioate. Chemically-defined valency platform molecules within the present invention are formed, for example, by reacting amino modified-PEG with 3,5-bis-(iodoacetamido) benzoyl chloride (hereinafter "IA DABA"); 3-carboxypropionamide-N,N-bis-[(6'-N'-carbobenzyloxyaminohexyl)acetamide] 4"-nitrophenyl ester (hereinafter "BAHA"); 3-carboxypropionamide-N,N-bis-[(8'-N'-carbobenzyloxyamino-3',6'-dioxaoctyl)acetamide] 4"-nitrophenyl ester (hereinafter "BAHA<sub>ox</sub>"); or by reacting PEG-bis-chloroformate with N,N-di(2-[6'-N'-carbobenzyloxyaminohexanoamido]ethyl)amine (hereinafter "AHAB") to form chemically-defined valency platform molecules.

Please replace the paragraph on page 10 beginning at line 10, with the following paragraph:

B10  
--Surprisingly unexpected results of at least approximately ten fold up to more than one-hundred fold increase in immunosuppression are achieved using conjugates comprising the chemically-defined, non-polymeric valency platform molecules of the instant invention and biological or synthetic molecules (non-haptens) when compared to the polymeric carriers described in the prior art. For example, at least a one hundred-fold increase in the immunosuppression of anti-dsDNA autoantibodies was achieved as described herein using conjugates within the present invention comprising chemically-defined, non-polymeric valency

B10  
conclude  
platform molecules when compared to conjugates comprising an ill-defined carrier described in the prior art.

Please replace the paragraph on page 12 beginning at line 12, with the following paragraph:

B11  
each of  $G^{[6]}$  and  $G^{[7]}$ , if present, is independently a linear, branched or multiply-branched chain comprising 1-2000, more preferably 1-1000, chain atoms selected from the group C, N, O, Si, P and S; more preferably, each of  $G^{[6]}$  and  $G^{[7]}$  is a radical derived from a polyalcohol, a polyamine, or a polyglycol; most preferably, each of  $G^{[6]}$  and  $G^{[7]}$  is selected from the group  $-(CH_2)_q-$  wherein  $q=0$  to 20,  $-CH_2(CH_2OCH_2)_rCH_2-$ , wherein  $r=0$  to 300, and  $C(CH_2OCH_2CH_2)_s(CH_2OH)_{4-s}$  wherein  $s=1$  to 4, more preferably  $s=3$  to 4.

Please replace the paragraph on page 12, beginning on line 22, continuing through page 13, line 3, with the following paragraph:

B12  
each of the  $n^{[6]} \times p^{[6]}$  moieties shown as  $T^{[6]}$  and each of the  $n^{[7]} \times p^{[7]}$  moieties shown as  $T^{[7]}$  is independently chosen from the group  $NHR^{SUB}$  (amine),  $C(=O)NHNHR^{SUB}$  (hydrazide),  $NHNHR^{SUB}$  (hydrazine),  $C(=O)OH$  (carboxylic acid),  $C(=O)OR^{ESTER}$  (activated ester),  $C(=O)OC(=O)R^B$  (anhydride),  $C(=O)X$  (acid halide),  $S(=O)_2X$  (sulfonyl halide),  $C(=NR^{SUB})OR^{SUB}$  (imidate ester),  $NCO$  (isocyanate),  $NCS$  (isothiocyanate),  $OC(=O)X$  (haloformate),  $C(=O)OC(=NR^{SUB})NHR^{SUB}$  (carbodiimide adduct),  $C(=O)H$  (aldehyde),  $C(=O)R^B$  (ketone),  $SH$  (sulfhydryl or thiol),  $OH$  (alcohol),  $C(=O)CH_2X$  (haloacetyl),  $R^{ALK}X$  (alkyl halide),  $S(=O)_2OR^{ALK}X$  (alkyl sulfonate),  $NR^1R^2$  wherein  $R^1R^2$  is  $-C(=O)CH=CHC(=O)-$  (maleimide),  $C(=O)CR^B=CR^B_2$  ( $\alpha,\beta$ -unsaturated carbonyl),  $R^{ALK}-Hg-X$  (alkyl mercurial), and  $S(=O)_2CR^B=CR^B_2$  ( $\alpha,\beta$ -unsaturated sulfone);

Please replace the paragraph on page 13, beginning at line 4, with the following:

~~more preferably, each of the  $n^{[6]} \times p^{[6]}$  moieties shown as  $T^{[6]}$  and each of the  $n^{[7]} \times p^{[7]}$~~

B13  
moieties shown as  $T^{[7]}$  is independently chosen from the group  $NHR^{SUB}$  (amine),  $C(=O)CH_2X$  (haloacetyl),  $R^{ALK}X$  (alkyl halide),  $S(=O)_2OR^{ALK}X$  (alkyl sulfonate),  $NR^1R^2$  wherein  $R^1R^2$  is -  $C(=O)CH=CHC(=O)-$  (maleimide),  $C(=O)CR^B=CR^B_2$  ( $\alpha,\beta$ -unsaturated carbonyl),  $R^{ALK}-Hg-X$  (alkyl mercurial), and  $S(=O)_2CR^B=CR^B_2$  ( $\alpha,\beta$ -unsaturated sulfone);

Please replace the paragraph on page 13 beginning at line 27, with the following paragraph:

B14  
~~each  $R^{SUB}$  is independently H, linear, branched, or cyclic alkyl (1-20C), aryl (6-20C), or alkaryl (7-30C);~~

Please replace the paragraph on page 13 beginning at line 29, with the following paragraph:

B15  
~~each  $R^{ESTER}$  is independently N-succinimidyl, p-nitrophenyl, pentafluorophenyl, tetrafluorophenyl, pentachlorophenyl, 2,4,5-trichlorophenyl, 2,4-dinitrophenyl, cyanomethyl and the like, or other activating group;~~

Please replace the paragraph on page 15, beginning on line 9, with the following paragraph:

B16  
~~Figure 1 shows the anti-PN response in mice primed with PN-KLH, treated with [(PN)<sub>20</sub>-BAHA]-EDDA, Conjugate 17-II, in the doses shown or with saline, which were given a booster injection of PN-KLH and then bled 5 days later. Sera were tested at 3 dilutions by the Farr assay using radiolabeled PN at  $10^{-8}$  M and the data are presented as the percentage reduction of anti-PN antibodies. There were 5 mice per group;~~

Please replace the paragraph on page 16, beginning on line 13, with the following paragraph:

B17  
--Figures 6A-C show the structure of the derivatized valency platform molecule and the linker coupling the polynucleotide to the platform molecule for Conjugates 3-I, 3-II, 11-I, 11-II, 11-IV, 11-VI, 11-VIII, 17-I, 17-II, 20-I, 20-II, 20-III, and 20-IV--

Please replace the paragraph on page 20, beginning at line 9, with the following paragraph:

B18  
--Conjugation of a biological or synthetic molecule to the chemically-defined platform molecule may be effected in any number of ways, typically involving one or more crosslinking agents and functional groups on the biological or synthetic molecule and valency platform molecule.--

Please replace the paragraph on page 25, beginning at line 4, with the following paragraph:

B19  
--Another procedure involves introducing alkylamino or alkylsulfhydryl moieties into either the 3' or 5' ends of the polynucleotide by appropriate nucleotide chemistry, e.g., phosphoramidite chemistry. The nucleophilic groups may then be used to react with a large excess of homobifunctional cross-linking reagent, e.g., dimethyl suberimidate, in the case of alkylamine derivatives, or an excess of heterobifunctional cross-linking reagent, e.g., m-maleimidobenzoyl-N-hydroxysuccinimide ester (MBS) or succinimidyl (4-iodoacetyl) aminobenzoate (SIAB), for the alkylsulfhydryl derivatives. Once excess cross-linker is removed, the polynucleotide derivatives are reacted with amino groups on the platform molecule. Alternatively, the sulfhydryl group may be reacted with an electrophilic center on the platform, such as a maleimide or  $\alpha$ -haloacetyl group or other appropriate Michael acceptor--

Please replace the paragraph on page 26, beginning at line 7, with the following paragraph:

B20  
Immunogens that are involved in antibody-mediated pathologies may be external (foreign to the individual) immunogens such as allergens, sperm associated with male infertility, the rheumatic fever carbohydrate complex, the RBC Rh/D antigen associated with hemolytic disease of the newborn, biological drugs, including native biological substances foreign to the individual such as therapeutic proteins, peptides and antibodies, and the like or self-immunogens (autoimmunogens) such as those associated with thyroiditis (thyroglobulin), stroke (cardiolipin) and myasthenia gravis (acetylcholine receptor).

Please replace the paragraph on page 26, beginning at line 19 and continuing through page 27, line 12, with the following paragraph:

B21  
Analogues to such immunogens may be identified by screening candidate molecules to determine whether they (a) bind specifically to serum antibodies to the immunogen and (b) lack T cell epitopes. Specific binding to serum antibodies may be determined using conventional immunoassays and the presence or absence of T cell epitopes may be determined by conventional T cell activation assays. In this regard, an analog which "binds specifically" to serum antibodies to the immunogen exhibits a reasonable affinity thereto. Further in this regard, it should be recognized that testing for T cell epitopes is conducted on a subject-by-subject basis using T cells taken from an intended recipient or from various patients that represent the target population of recipients. The presence or absence of T cell epitopes may be determined using the tritiated thymidine incorporation assay described in the examples. The presence of T cell epitopes can also be determined by measuring secretion of T cell-derived lymphokines by methods well known in the art. Analogs that fail to induce statistically significant incorporation of thymidine above background are deemed to lack T cell epitopes. It will be appreciated that



B21  
Conclude  
the quantitative amount of thymidine incorporation may vary with the immunogen. Typically a stimulation index below about 2-3, more usually about 1-2, is indicative of a lack of T cell

epitopes.

Please replace the paragraph on page 29, beginning at line 1, with the following paragraph:

B22  
Carbohydrates such as oligosaccharides can be modified to contain a sulfhydryl-containing linker (Wood, S.J. and Wetzel, R., Bioconjugate Chemistry, 3:391 (1992)). The sulfhydryl group is used for conjugation to a haloacetylated platform. Alternatively, carbohydrates can be oxidized to generate aldehydes which are reacted with amino platforms in the presence of  $\text{NaCNBH}_3$  to form conjugates.

Please replace the paragraph on page 29, beginning at line 9, with the following paragraph:

B23  
Lipids such as glycolipids containing an ethanolamine group are reacted with an activated carboxylate on the platform. Lipopolysaccharides containing sugar units are oxidized to generate aldehydes which are reacted in the presence of  $\text{NaCNBH}_3$  with amino platforms to form conjugates by reductive amination.

Please replace the paragraph on page 30, beginning at line 15, with the following paragraph:

B24  
The following reaction schemes illustrate methods of synthesizing derivatized chemically-defined valency platform molecules within the present invention. In this example, DMTr=4,4'-dimethoxytriphenylmethyl; Tr=trityl; Bz=benzoyl; Cp=deoxycytidine monophosphate, CE=cyanoethyl; CPG=controlled pore glass, DMF = dimethylformamide, DCC = dicyclohexylcarbodiimide, TFA = trifluoroacetic acid, CDI = carbonyl diimidazole, Ts = tosyl

B24  
Amide  
(para-toluene sulfonyl), DIPAT = diisopropyl ammonium tetraazolidate, TBDMSCl = tertbutyl  
dimethyl silyl chloride, TBAF = tetrabutyl ammonium fluoride, NMMO = N-methylmorpholine-  
N-oxide.

Please replace the paragraph on page 45 beginning at line 26 continuing through page 46,  
line 10, with the following paragraph:

B25  
~~Compound 4 - [Mono-N-carbobenzyloxy-3,6-dioxo-1,8-diaminooctane]:~~ A solution of  
14.3 mL (17.1 g, 100 mmol) of benzylchloroformate in 200 mL of CH<sub>2</sub>Cl<sub>2</sub> was added dropwise  
over a 1 hour period to a solution of 29.0 mL (29.6 g, 200 mmol) of 1,2-bis-(2'-  
aminoethoxy)ethane (Fluka) in 100 mL of CH<sub>2</sub>Cl<sub>2</sub> at 00. The mixture was stirred at room  
temperature for 24 hours and 1 N HCl was added until the aqueous layer remained acidic (pH  
less than 2). The aqueous layer was washed with three 50 mL portions of CH<sub>2</sub>Cl<sub>2</sub> and  
neutralized with 1 N NaOH until the pH was above 13. The basic aqueous layer was extracted  
with five 75 mL portions of CH<sub>2</sub>Cl<sub>2</sub>. The combined CH<sub>2</sub>Cl<sub>2</sub> layers were dried (MgSO<sub>4</sub>), filtered,  
and concentrated to yield 12.7 g (45%) of compound 4 as a thick oil: <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 2.82  
(bd s, 2H), 3.30-3.60 (m, 12H), 5.10 (s, 2H), 5.75 (bd s, 1H), 7.20-7.40 (m, 5H); <sup>13</sup>C NMR  
(CDCl<sub>3</sub>) δ 41.1, 41.8, 66.5, 70.0, 70.2, 70.4, 73.5, 127.9, 128.0, 128.4, 136.9, 156.4.

Please replace the paragraph on page 70, beginning at line 1, with the following  
paragraph:

B26  
~~Compound 45:~~ A mixture of 560 mg (1.4 mmol) of compound 44 and 1.69 g (6.0  
mmol) of compound 4 was heated under nitrogen at 150° for 4 hours. The mixture was  
partitioned between 50 mL of EtOAc and 25 mL of 1N HCl, and the HCl layer was extracted  
with 25 mL of CH<sub>2</sub>Cl<sub>2</sub>. Combined EtOAc and CH<sub>2</sub>Cl<sub>2</sub> extracts were washed with saturated  
NaHCO<sub>3</sub> solution, dried (K<sub>2</sub>CO<sub>3</sub>), filtered, and concentrated to a viscous residue. Purification by  
chromatography on silica gel (gradient 95/5 to 90/10 CH<sub>2</sub>Cl<sub>2</sub>/MeOH) provided 300 mg (19%) of

B26  
conclude  
compound 45 as a viscous oil: TLC, R<sub>f</sub> = 0.24 (90/10 CH<sub>2</sub>Cl<sub>2</sub>/MeOH); <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 2.40 (t, 6H), 3.38 (s, 6H), 3.39-3.48 (m, 12H), 3.52-3.67 (m, 32H), 5.13 (s, 6H), 5.62 (bd s, 3H) 6.80 (bd s, 3H), 7.40 (s, 15H).


Please replace the paragraph on page 71, beginning at line 9, with the following paragraph:

B27  
Compound 47 - S-(6-hydroxyhexyl)isothiuronium chloride: 11.1 g (146 mmol) of thiourea was added to a solution of 16.6 mL (20.0 g, 146 mmol) of 6-chlorohexanol in 49 mL of ethanol and the mixture was refluxed for 24 hours. The mixture was cooled to 0° and the product crystallized. The crystals were collected by vacuum filtration and dried to give 28.4 g (92%) of compound 47 as a white solid: mp 122-1240; <sup>1</sup>H-NMR (DMSO) 1.40 (m, 4H), 1.65 (m, 2H), 3.21 (t, 2H), 3.41 (t, 2H), 9.27 and 9.33 (overlapping broad singlets, 4H); Anal. Calc'd for C<sub>7</sub>H<sub>17</sub>ClN<sub>2</sub>OS: C, 39.51; H, 8.06; N, 13.17; S, 15.07. Found: C, 39.69; H, 8.00; N, 13.01; S, 15.16.

Please replace the paragraph on page 86 beginning at line 9, with the following paragraph:

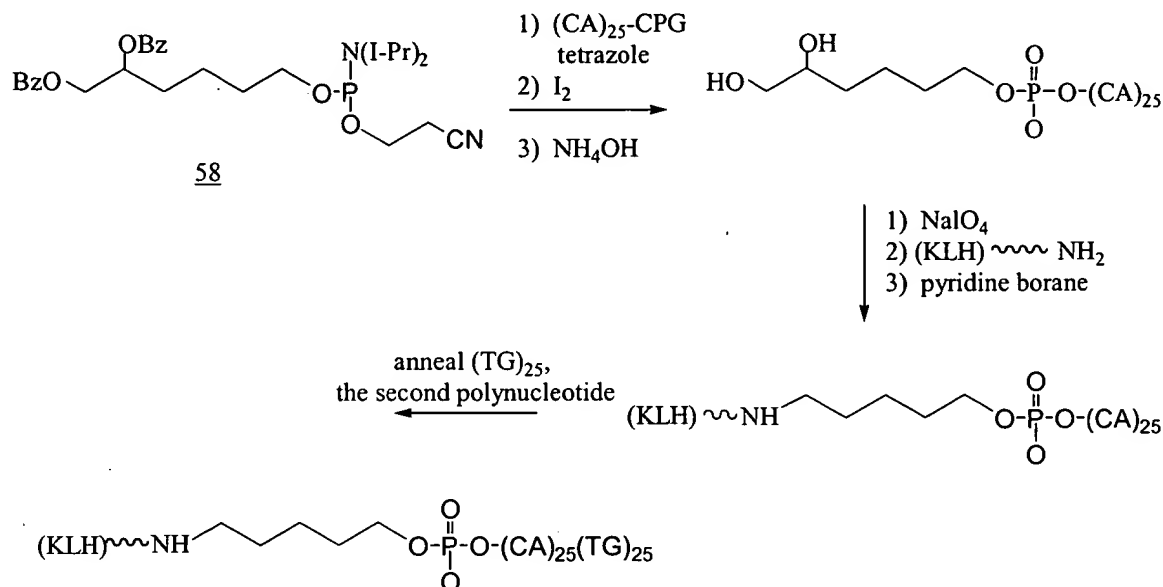
B28  
Compound G - Platform with Four N-Succinimidyl Esters. A solution of 126 mg (0.1 mmol) of F and 46 mg (0.4 mmol) of N-hydroxysuccinimide in 5 mL of anhydrous THF is prepared. The mixture is cooled to 0° and 103 mg (0.5 mmol) of dicyclohexylcarbodiimide is added. The mixture is stirred allowing to come to room temperature over several hours. The solids are removed by filtration, and the filtrate is concentrated to provide G which can be purified by silica gel chromatography.

Please replace the paragraph beginning on page 94, line 20 and ending on page 95, line 18, with the following paragraph:

The polynucleotide d-[DMTr-(bzCp(CE)bzA)<sub>10</sub>] was prepared on a Milligen 8800 Prep

Scale DNA synthesizer (See Figure 6A) following the manufacturer's protocols for DNA phosphoramidite synthesis. The synthesis was carried out on 10 g of DMTr-d-bzA-CPG support with a nucleoside loading of 30.0  $\mu\text{mol/g}$ . The final DMTr blocking group was removed using the machine protocol. Milligen activator solution, Cat. No. MBS 5040 (45 mL) and 0.385 g of compound 51 (see Reaction Scheme 11) were added to the reaction and the suspension was mixed for 8 minutes by argon ebullition. The mixture was oxidized by the usual machine protocol and the support-bound polynucleotide was collected by filtration, air dried and treated with 100 mL of concentrated ammonia for 16 hours at 55°C. When cool, the mixture was filtered through a Gelman 10  $\mu\text{m}$  polypropylene filter. The filter was washed with 200 mL of 2 mM NaCl adjusted to pH 12 with NaOH. The filtrate was then applied to an Amicon chromatography column (0.45 x 9.4 cm, 150 mL) which had been packed with Q-Sepharose (Pharmacia, Peapack, NJ) equilibrated first with 3M NaCl and then with 2 mM NaCl, pH 12. The column was eluted with 500 mL of a linear gradient (2 mM NaCl, pH 12 to 1.3 M NaCl, pH 12), then washed with 1.3 M NaCl, pH 12 until all U.V. absorbing material came off. Fractions which absorbed at 260 nm were further analyzed by polyacrylamide electrophoresis and those containing pure product were pooled. The pool (120 mL) was treated with 240 mL of cold isopropanol and stored for 30 minutes at -20°C. The precipitate was collected by centrifugation in a Sorvall RC 3B centrifuge using a model H-6000A rotor for 15 minutes at 3000 rpm and 4°C to yield DMTr-5'-modified (CA)<sub>10</sub> (14946 A<sub>260</sub> units, 498 mg, 62.2  $\mu\text{mol}$ , 20% based on 300  $\mu\text{mol}$  CPG nucleoside.)

Please replace the reaction scheme on page 98 with the following reaction scheme:



Please replace the paragraph on page 99 beginning at line 2, with the following

paragraph:

B31

-[Compound 58 was coupled to (CA)<sub>25</sub> as the final step of automated synthesis which incorporates the elements of an acyclic triol moiety (ACT). Forty-nine sequential steps were carried out using alternating dC and dA phosphoramidites beginning with 10 g of DMT-d-bzA-CPG support with a nucleoside loading of 30 µmol/g. The DMTr blocking group was removed from the resulting d-[DMTr-(BzCp(CE)BzA)<sub>25</sub>], and 40 mL of activator solution (Milligen, Cat. No. MBS 5040) and 800 mg of compound 58 were added to the reaction mixture. The suspension was mixed for 8 minutes by argon ebullition and subjected to a conventional oxidation step. The support bound polynucleotide was removed from the reaction vessel, air dried, and treated with 100 mL of concentrated ammonia for 40 hours at 55°C. When cool, the mixture was filtered through a Gelman 10 µm polypropylene filter and the filtrate was then purified by conventional ion exchange chromatography. Fractions which absorbed at 260 nm were further analyzed by polyacrylamide gel electrophoresis and those containing pure product

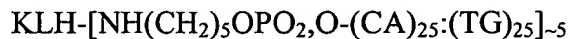
B1  
Conclude  
were combined and precipitated with isopropanol to provide 510 mg (31.9  $\mu\text{mol}$ , 10%) of the  
ACT-modified (CA)<sub>25</sub>--

Please replace the paragraph on page 99, beginning at line 25, with the following  
paragraph:

B32  
--To a solution of 100 mg (2.5  $\mu\text{mol}$ ) of NaIO<sub>4</sub>-treated ACT-modified (CA)<sub>25</sub> in 1.33 mL  
of 50 mM sodium borate pH 8.0 was added 31.3 mg (0.208  $\mu\text{mol}$ ) of KLH and 2.0 mg (31.8  
 $\mu\text{mol}$ ) of pyridine borane. The mixture was kept at 37°C for 72 h, and the product was purified  
by chromatography on S-200.--

Please replace the paragraph on page 100, beginning at line 3, with the following  
paragraph:

B33  
--The equivalent amount of (TG)<sub>25</sub> was added to the single-stranded PN-KLH conjugate  
and the tube was heated at 90°C for ten minutes and then allowed to cool to room temperature  
over an hour and a half. Precipitation with isopropyl alcohol yielded 53 mg of product, PN-  
KLH; T<sub>m</sub> (0.15 M NaCl, 0.01 M sodium citrate, pH 6.8) 73.40, 31.1% hyperchromicity; 98%  
double stranded as determined by HPLC comparison to standards consisting of sample annealed  
with excess (TG)<sub>10</sub>, unannealed conjugate, and unannealed (TG)<sub>10</sub> (Shodex Protein KW 8025  
column, 0.05 M NaH<sub>2</sub>PO<sub>4</sub>, pH 6.5, 0.5 M NaCl). This conjugate may be represented by the  
formula



(assuming a molecular weight of 10<sup>5</sup> for KLH) and is designated "PN-KLH."--

Please replace the paragraph on page 100 beginning at line 22, with the following  
paragraph:

B34 ~~Conjugate 3-II was tested for its ability to tolerize mice that had been immunized with~~  
~~an immunogenic form of the polynucleotide, PN-KLH.~~

Please replace the caption on page 103 beginning at line 18, with the following caption:

B35 ~~Conjugation of Tr-5' Modified (CA)<sub>10</sub> to Valency Platform Molecule 20 - Preparation~~  
~~of Single-Stranded Conjugate 20-I~~

Please replace the paragraph on page 103 beginning at line 21 continuing through page 104, line 29, with the following paragraph:

B36 ~~969  $\mu$ L (789 mg, 3.89 mmol) of tri-n-butylphosphine was added to a solution of 918 mg~~  
(0.14 mmol) of Tr-5'-modified (CA)<sub>10</sub> in 30 mL of H<sub>2</sub>O under argon atmosphere. The mixture was stirred for 1 hour and then 2.4 mL of a 3M NaCl solution was added followed by 42 mL of isopropanol which had been sparged with helium to remove oxygen. The mixture was placed in a freezer at -20°C for 1 hour and then centrifuged at 3000 rpm for 30 minutes. The supernatant was removed and the oily residue was dissolved in 15.5 mL of helium sparged H<sub>2</sub>O. 1.24 mL of 3M NaCl and 21.7 mL of helium sparged isopropanol was added to the mixture. The resulting mixture was then placed in a freezer at -20°C for 1 hour and centrifuged at 3000 rpm for 20 minutes. The oily pellet was dried under vacuum for 18 hours to yield a solid. The solid was dissolved in 6 mL of helium sparged H<sub>2</sub>O to give a total volume of 6.4 mL. The amount of DNA was 863 mg as determined by UV absorbance at 260 nm (0.033 mg per unit of absorbance in pH 7.5 phosphate buffered saline). The solution was transferred to a 50 mL three-neck flask under argon. One neck of the flask had an argon gas inlet while the other two necks were stoppered. The total volume was adjusted to 7.7 mL with H<sub>2</sub>O and 0.87 mL of helium sparged 1M sodium phosphate buffer, pH 7.8, and 0.97 mL of MeOH. 1.9 mL (33.63 mg, 0.025 mmol) of a 17.7 mg/mL solution of compound 20 in MeOH was added to the mixture. The resulting mixture was stirred under argon for 20 hours and then diluted to 100 mL with a solution comprising 0.1 M

B36  
conclude

NaCl, 0.05 M sodium phosphate, pH 7.5, and 10% MeOH. Purification was accomplished by chromatography on Fractogel® (equilibration: 0.1 M NaCl, 0.05 M sodium phosphate, pH 7.5, 10% MeOH; elution gradient 0.5 M NaCl, 0.05 M sodium phosphate, pH 7.5, 10% MeOH to 0.8 M NaCl, 0.05 M sodium phosphate, pH 7.5, 10% MeOH). Fractions containing pure conjugate 20-I as evidenced by HPLC and polyacrylamide gel electrophoresis were collected in 232 mL of eluent. The product and salts were precipitated by adding an equal volume of isopropanol and placing same in a freezer at -20°C for 1 hour. Dialysis against H<sub>2</sub>O (2 x 100 vol) gave 335 mg of conjugate 20-I (32 mL of 10.47 mg/mL, 0.033 mg/absorbance unit at 260 nm, assumed).

Please replace the caption on page 105 beginning at line 20, with the following caption:

B37

Alternative Conjugation of TR-5'-Modified (CA)<sub>10-20</sub>, Preparation of Single Stranded Conjugate 20-I

Please replace the paragraph on page 105, beginning at line 22 continuing through page 106, line 15, with the following paragraph:

B38

10 equivalents of tri-n-butylphosphine are added to a 10 mg/mL solution of Tr-5'-modified (CA)<sub>10</sub> in He sparged with 100 mM pH 5 sodium acetate. The mixture is stirred for 1 hour and then precipitated with 1.4 volumes of isopropyl alcohol (IPA). The mixture is placed in the freezer at -20°C for 1 hour and centrifuged at 3000 rpm for 20 minutes. The supernatant is removed and the pellet is dissolved to 10 mg/mL in He sparged IPA. The mixture is placed in the freezer at -20°C for 1 hour and centrifuged at 3000 rpm for 20 minutes. The pellet is dried under vacuum for 18 hours to give a solid. A 50 mg/mL solution of the solid is prepared in He sparged 100 mM pH 10 sodium borate buffer. 0.25 equivalents of compound 20 as a 40 mg/mL solution in 9/1 MeOH/H<sub>2</sub>O is added to the mixture. The mixture is stirred at room temperature for 3-20 hours and diluted (0.1 M NaCl, 0.05 sodium phosphate, pH 7.5, 10% MeOH). Purification is accomplished by chromatography on Fractogel® (equilibration; 0.1 M NaCl, 0.05



B38  
09/10/14  
M sodium phosphate, pH 7.5, 10% MeOH: elution gradient; 0.5 M NaCl, 0.05 M sodium phosphate, pH 7.5, 10% MeOH to 0.8 M NaCl, 0.05 M sodium phosphate, pH 7.5, 10% MeOH). Fractions containing pure 20-I, as evidenced by HPLC and polyacrylamide gel electrophoresis, were collected. The product and salts are precipitated by adding an equal volume of IPA and standing in the freezer at -20°C for 1 hour. Dialysis against H<sub>2</sub>O (2 X 10 vol) give 20-I -

Please replace the caption on page 106 beginning at line 23, with the following caption: 2

B39  
A Second Alternative Conjugation of Tr-5'-Modified (CA)<sub>10-20</sub>. Preparation of Single Stranded Conjugate 20-IA

Please replace the paragraph on page 106, beginning at line 25 and continuing through page 107, line 15, with the following paragraph:

B40  
4.8 mL of tri-n-butylphosphine was added to a solution of 7.75 g of Tr-5'-modified (CA)<sub>10</sub> in 104 mL of Ar sparged 100 mM pH 5 sodium acetate under N<sub>2</sub>. The mixture was stirred for 1 hour and then precipitated with 232.5 mL of IPA. The mixture was placed in a freezer for -20°C for 1.5 hours, centrifuged at 3000 rpm for 20 minutes and then frozen at -20°C for 24 hours. The supernatant was removed and the pellet was dissolved in 170 mL He sparged 0.3 M NaCl solution. The mixture was again precipitated with 232 mL of Ar sparged IPA. The mixture was then placed in a freezer at -20°C for 2 hours, centrifuged at 3000 rpm for 20 minutes and then frozen at -20°C for 11 hours. The supernatant was decanted and the pellet was dried under vacuum for 12 hours to give a solid. A solution of the solid was prepared in 110 mL of Ar sparged 100 mM pH 10 sodium borate buffer. 406 mg of compound 20 as a solution in 4.4 mL of 9/1 MeOH/H<sub>2</sub>O was added to the mixture. The mixture was stirred at room temperature for 2 hours. The product mixture contained 62% of 20-I by high-pressure ion chromatography, Waters Gen Pak Fax column (100 X 4 mm), 60°C, linear gradient from 65%A/35%B to

*Bef  
conclude* 18%A/82%B; A=0.05 M NaH<sub>2</sub>PO<sub>4</sub>, pH 7.5, 1 mM EDTA, 10% MeOH (v/v); B=0.05 M NaH<sub>2</sub>PO<sub>4</sub>, pH 7.5, 1 M NaCl, 1 mM EDTA, 10% MeOH (v/v), eluting at 19.5 minutes.

Please replace the paragraph on page 114, beginning at line 17 continuing through page 115 line 19, with the following paragraph:

*B41* A serum sample taken from each mouse was assessed for the presence of anti-DNA antibody by ELISA. Falcon Probind 96 well microtitration assay plates (Becton Dickerson, Oxnard, CA) were coated with 100 µL/well of (PN)<sub>50</sub>-D-EK (a co-polymer of D-glutamic acid and D-lysine) at a concentration of 50 µg/mL overnight at 40C. The plates were washed twice with PBS without calcium and magnesium and 0.05% Tween 20 (wash buffer) using a M96V plate washer (ICN Biomedical, Inc., Irvine, CA). Plates were blocked for 1 hour at room temperature in PBS containing 1% gelatin (Norland Products, Inc., New Brunswick, NJ) and 0.05% Tween 20. Plates were washed twice with wash buffer before the addition of serum samples or standards. Serum samples and standards were prepared in a diluent containing PBS with 1% gelatin, 0.05% Tween 20 and 10% goat serum. Plates were incubated with serum samples for 60 to 90 minutes at 37°C and then the wells were washed four times with wash buffer. Biotinylated goat anti-mouse IgG (Sigma Chemical Co., St. Louis, MO) was diluted 1/1000 in blocking solution containing 10% goat serum. The plates were incubated for 1 hour at 37°C and washed four times. The substrate, OPD (Sigma Chemical Co., St. Louis, MO), was added. The plates were incubated in the dark until the highest reading of the highest standard was approximately 1 OD unit by an ELISA plate reader at OD 450 nm (Bio-Tek Instruments, Winooski, VT). The reaction was stopped with 50 µL of 3M HCl and the plates were read at 490 nm. The reference positive serum was included in each microtitration plate and the positive wells from each assay were within the sensitivity range of the reference curve 95% of the time. In the later bleeds, some positive samples exceeded the reference curve. However the most

B41  
conclude dilute mouse serum sample was within the range of the reference curve. No significant binding was observed by normal control negative serum. The results are shown in Figure 15

Please delete the paragraph on page 117, beginning on line 17 continuing through line 18.

Please replace the paragraph on page 117, beginning on line 20, with the following:

B42  
Melittin Peptide #8.

(H<sub>2</sub>N-Trp-Ile-Lys-Arg-Lys-Arg-Gln-Gln)<sub>2</sub>-Lys-Cys-Gly-CO<sub>2</sub>H (SEQ. ID NO.: 10)

Please replace the entry on page 119, first line, Table 7 as follows:

B43  
~~Table 6~~

Please replace the entry on page 119, Table 7, column entitled "Valence platform", first entry (for conjugate number 1):

B44  
~~60~~

Please replace the entry on page 119, column entitled "Peptide conjugated", last entry, with the following entry:

B45  
~~9~~

Please replace the paragraph on page 122, beginning at line 14, with the following paragraph:

B46  
T Cells from mice primed with melittin showed T cell proliferation in response to the whole melittin molecule and to C-terminal melittin peptides 3, 4, and 5 (Figure 8). However, C-terminal peptides 1 and 2 induced no significant T cell proliferation. Melittin peptides 2 and 5

B46  
conclude  
were conjugated to PEG. Like melittin peptide 2, the PEG conjugate of melittin peptide 2 also did not induce significant T cell proliferation.

Please replace the paragraph on page 122, beginning at line 25 continuing through page 123, line 12, with the following:

B47  
Mice treated with the conjugate prepared as described above (10 mg/kg, 200 µg/mouse), had significantly lower levels of anti-melittin peptide 2 antibodies (Figure 9) and also lower levels of anti-melittin antibodies (Figure 10) as compared to the control Balb/c mice treated with formulation buffer. Spleen cells from mice treated with buffer control or the conjugate were assayed for the ability of antibody-forming cells to produce anti-melittin or anti-melittin peptide 2 antibodies as measured in a soluble ELISA assay. As shown in Figure 11, the levels of anti-melittin peptide 2 antibody forming cells in the conjugate treatment group were significantly lower than in the control group which was administered formulation buffer. Mice treated with Conjugate 4, a conjugate of peptide 5 which contains a T cell epitope, failed to reduce the titer of antibodies to peptide 5 in treated mice. Thus, the conjugate containing a T cell epitope was not a tolerogen (Figure 12). In fact, rather than reduce the response, the levels of anti-peptide antibody may have increased slightly.

Please replace the paragraph on page 125, beginning at line 12, with the following:

B48  
Two different tolerogens were constructed to determine if the orientation of the peptide on the PEG conjugate affects its ability to induce tolerance. The peptide was covalently bound to valency platform molecule 3 through its C-terminal end to make melittin conjugate 3. Groups (3/group) of mice primed with melittin were treated, i.p., with conjugates or with saline. Five days later all of the mice, including the non-treated control group, were boosted with 5 µg of melittin. Six days later the mice were sacrificed, their spleens were harvested and the number of peptide specific pfc determined. As illustrated in Table 8, both orientations were effective in